

Jimmy Lockwood

Colorado State University, College of Business
Department of Finance & Real Estate #326
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ACADEMIC APPOINTMENTS

Colorado State University

Assistant Professor of Finance (Tenure-Track)	2015 – <i>present</i>
Instructor	2013 – 2015

EDUCATION

University of South Carolina

PhD in Finance (Major) & Statistics (Minor)	2013
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David Lipscomb University

BS in Finance, BS in Economics	2006
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FIELDS OF INTEREST

Research: Market Efficiency, International Finance, Market Microstructure, Corporate Finance

Teaching: Investments, Portfolio Management, Corporate Finance

ARTICLES PUBLISHED IN PEER-REVIEWED JOURNALS (* lead author)

- [1] Investor response to online value line rank changes: Foreign versus local stocks (with Wikrom Prombutr, Ying Zhang, and Steven Le), 2016, *Global Finance Journal* 30, 10-26.
- [2] Lost in Translation: Which Stock Prices Bear the Burden to Adjust to Exchange Rates?* (with Larry Lockwood and Sie Ting Lau), 2016, *Journal of Financial Research* 39, 263-290.
Selected as one of three 2016 Outstanding Articles in the *Journal of Financial Research*
- [3] Risk-Shifting, Equity Risk, and the Distress Puzzle (with Keming Li and Hong Miao), 2017, *Journal of Corporate Finance* 44, 275-288.
- [4] Price Discovery for Internationally Cross-listed Stocks During the 2008 Financial Crisis* (with Larry Lockwood and Sie Ting Lau), 2018, *Journal of Financial Research* 41, 351-381.

WORKING PAPERS IN THE EDITORIAL PROCESS

- [5] The Informational Role of Options Prices in China (with Hong Miao, Sanjay Ramchander, and Dongxiao Yang) *Under Review European Journal of Finance* (2019)

SELECTED WORKING PAPERS (* lead author)

- [6] Cash is King? Price and Clientele Effects (with Biqing Huang, Jessica Rutherford, and Ronald Rutherford)
- [7] Does Analyst Optimism Fuel Stock Price Momentum? (with Keming Li, Hong Miao, and Mohammad Riaz Uddin).
- [8] Price Discovery and Exchange Rate Volatility* (with Larry Lockwood and Sie Ting Lau).

[9] The Effects of Market Depth on Price Discovery* (with Larry Lockwood and Sie Ting Lau).

SELECTED WORKS-IN-PROGRESS (* lead author)

The Decomposition of Market-to-Book into Firm Misvaluation, Industry Misvaluation, and Growth Components: A Battle to Explain the Cross-Section of Stock Returns* (with Larry Lockwood and Mauricio Rodriguez).

Default Risk versus Book-to-Market Equity Premiums* (with Larry Lockwood, Hong Miao, and Wikrom Prombutr)

Price Discovery and the Chinese Split Share Structure Reform (with Sie Ting Lau, Eric Powers, and Gang Xiao)

Price Discovery for Real Estate Stocks* (with Mauricio Rodriguez)

PROFESSIONAL CONFERENCE AND SEMINAR PAPER PRESENTATIONS

Order Execution and Price Discovery during the 2008 Financial Crisis

Southern Finance Association Annual Meetings (2017)

Academy of Financial Services Annual Meetings (2014)

Colorado State University (2013)

University of Texas at Arlington (2012)

Texas Christian University (2012)

University of Memphis (2010)

Does Analyst Optimism Fuel Stock Price Momentum?

University of Nevada – Reno (2018)

Southern Finance Association Annual Meetings (2016)

Colorado State University (2016)

Lost in Translation: Which Stock Prices Bear the Burden to Adjust to Exchange Rates?

Academy of Financial Services Annual Meetings (2014)

Colorado State University (2014)

Risk-Shifting, Equity Risk, and the Distress Puzzle

Academy of Financial Services Annual Meetings (2015)

Market Depth and Price Discovery

Academy of Financial Services Annual Meetings (2014)

Default Risk versus Book-to-Market Equity Premiums

Colorado State University (2014)

Financial Management Association Annual Meetings (2009)

RESEARCH HONORS AND BEST PAPER AWARDS

2018 Dean's Scholar, Colorado State University, College of Business

2017 Outstanding Contribution in Reviewing, *Journal of Corporate Finance*

2017 Dean's Scholar, Colorado State University, College of Business

2016 Outstanding Articles in the *Journal of Financial Research*

2016 Dean's Scholar, Colorado State University, College of Business

TEACHING EXPERIENCE AND STUDENT EVALUATIONS

I have taught a variety of finance courses at the MS, MBA, and undergraduate levels. Students consistently rate my courses highly with an average rating over 4.5 out of 5. (5 = Excellent).

<u>Course</u>	<u>Rating (5 = Excellent)</u>
Principles of Investments (Undergrad), 7 sections	4.61
Fundamentals of Finance (Undergrad), 6 sections	4.42
Debt Securities Analysis (Undergrad), 4 sections	4.51
Corporate Finance (Undergrad), 2 sections	4.86
Debt Securities Analysis (MS), 2 sections	4.25
Financial Markets and Investments (MBA), 1 section	4.05

PROFESSIONAL SERVICE AT CONFERENCES

Program Committee Member:

Southern Finance Association, Annual Meetings, (2020), Farjardo, PR
Financial Management Association, Annual Meetings, (2019), New Orleans, LA
Southwestern Finance Association, Annual Meetings (2019), Houston, TX
Southern Finance Association, Annual Meetings (2017), Key West, FL

Best Paper Award Committee:

Southwestern Finance Association, Annual Meetings, Houston, TX
Academy of Financial Services, Annual Meetings (2014), Nashville, TN

Session Chair:

Southern Finance Association, Annual Meetings (2017), Key West, FL
Southern Finance Association, Annual Meetings (2016), San Destin, FL
Academy of Financial Services, Annual Meetings (2014), Nashville, TN

Presenter:

Southern Finance Association, Annual Meetings (2017), Key West, FL
Southern Finance Association, Annual Meetings (2016), San Destin, FL
Academy of Financial Services, Annual Meetings (2015), Orlando, FL
Academy of Financial Services, Annual Meetings (2014), Nashville, TN
Financial Management Association, Annual Meetings (2009), Reno-Lake Tahoe, NV

Discussant:

Southern Finance Association, Annual Meetings (2017), Key West, FL
Southern Finance Association, Annual Meetings (2016), San Destin, FL
Academy of Financial Services, Annual Meetings (2015), Orlando, FL
Financial Management Association, Annual Meetings (2014), Nashville, TN
Academy of Financial Services, Annual Meetings (2014), Nashville, TN
Southern Finance Association, Annual Meetings (2012), Charleston, SC
Financial Management Association, Annual Meetings (2012), Atlanta, GA
Financial Management Association, Annual Meetings (2009), Reno-Lake Tahoe, NV

JOURNAL REFEREE SERVICES

Managerial Finance (2018, 2019)
Journal of Corporate Finance (2016, 2017)
Journal of Business Finance and Accounting (2016)
Journal of Financial Research (2015)

DEPARTMENT SERVICE

Finance Department Academic Seminar Series, Co-Chair (2015 – *present*)
Finance Website Manager (2015 – *present*)
Rams on Wall Street Selection Committee, Chair (2018)
Rams on Wall Street Selection Committee, Co-Chair (2017)
Tenure Track Search Committee, Committee Member (2016)
Finance Department Academic Seminar Series, Co-Founder (2015)

UNIVERSITY SERVICE

Commencement Marshal, Graduation 2015 - 2017

AFFILIATIONS

American Finance Association
Financial Management Association
Western Finance Association
Southern Finance Association
South Western Finance Association
Eastern Finance Association

ACADEMIC AWARDS AND HONORS

Darla Moore Fellowship, Moore School of Business
Delta Epsilon Iota Academic Honor Society
Phi Kappa Phi National Honor Society
The National Honors Scholar Society
National Dean's List

STUDENT ADVISING AND THESIS COMMITTEES

Esther Ouyang and Yingchao Geng (2017)
Stephen Pesche, John Sage, and Kristen Malloy (2016)
Amanda Guderjahn and Robin Carrera (2014, 2015)

WEB PAGES

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